ALABAMA ETHICS COMMISSION MINUTES

August 2, 2022 9:30 a.m. 1st Floor, RSA Union Building Montgomery, Alabama

The Alabama Ethics Commission met on August 2, 2022, at 9:30 a.m. in the Purchasing Division Auditorium on the 1st Floor of the RSA Union Building.

Commission Members Present:

Commission Members Absent:

Honorable John Plunk, Chair Honorable Lyn Stuart, Ret. Chief Justice, Vice-Chair Honorable Stanton H. McDonald, Member Honorable BG (R) Edward Crowell (USAF), Member

Commission Staff Present:

Thomas B. Albritton, Executive Director
Brian Paterson, Assistant General Counsel
Cynthia Raulston, Executive Assistant to the Director
Chris Clark, Chief Special Agent
Byron Butler, Senior Special Agent
Dustin Lansford, Senior Special Agent
Chris McCay, Special Agent
Brian Baker, Special Agent
Brian Baker, Special Investigator
Cara Stapleton, Special Investigator
Austin Gilmore, Administrative Support Assistant I
Jennifer Marcato, Lobbyist Principal Liaison

Other:

Victoria Costello, Court Reporter – Huseby

Chief Justice Stuart served as chair for the meeting, called the meeting to order at 9:31 a.m. and introduced Associate Pastor Kenney Hoomes, First Baptist Church, Montgomery, Alabama, who gave the invocation.

Old Business:

Approval of the minutes from the June 8, 2022, meeting:

Mr. Plunk moved to adopt the minutes as written. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Approval of the minutes from the July 13, 2022, meeting:

General Crowell moved to adopt the minutes as written. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

New Business:

FCPA Appeals

Ashley Nicole Bell

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. Plunk moved to uphold the March 3, 2022, penalty and offense. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Mr. Paterson explained the request for reconsideration by Ashley Nicole Bell, who was not initially present and wanted to speak on her own behalf. Reconsideration was granted, Mr. McDonald moved to set aside the March 3, 2022, penalty. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Yolanda Flowers

Mr. Paterson explained the request for the Civil Penalty Appeal. Yolanda Flowers spoke on her own behalf. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. Plunk moved to set aside the six penalties that were imposed May 27, 2022. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Michael J. Wright

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the March 2, 2022, penalty. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

General Crowell moved to set aside the previous Civil Penalty Appeal offenses. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Peter Anthony Kupfer

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. General Crowell moved to set aside the May 24, 2022, penalty and offense. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

George Henry

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the June 2, 2022, penalty and offense. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Richard Talley

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the June 2, 2022, penalty and offense. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Brenda Cephus

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. General Crowell moved to set aside the three penalties and offenses that were imposed May 20, 2022. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Buddy Gunner Ford

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. Plunk moved to set aside the May 24, 2022, penalty and offense. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Kerri Page Parker

Mr. Paterson explained the request for Kerri Page Parker's appeal to be continued.

Jim Zeigler

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. Plunk moved to uphold the two penalties and offenses that were imposed May 24, 2022. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Alonzo Akeem Jackson

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. General Crowell moved to set aside the two penalties and offenses that were imposed April 18, 2022. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Wendell Warren Major

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. General Crowell moved to set aside the five penalties and offenses that were imposed June 8, 2022. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Matthew McKenzie

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the two penalties and offenses that were imposed June 16, 2022. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Jonathan Craig Jett

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the seven penalties and offenses that were imposed June 21, 2022. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Charles Ray Winston III

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. General Crowell moved to set aside the June 21, 2022, penalty and offense. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Jamie Weathersby Smith

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the five penalties and offenses that were imposed June 10, 2022. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Robert Lee Stewart, Jr.

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the four penalties and offenses that were imposed June 17, 2022. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Ricky Mitchell Herring

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the three penalties and offenses that were imposed June 15, 2022. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

ROAD PAC

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. McDonald moved to set aside the July 6, 2022, penalty and offense. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

Donna J. Pike

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. Mr. Plunk moved to set aside the three penalties and offenses that were imposed July 5, 2022. Mr. McDonald seconded the motion. Motion passed unanimously with all those present voting.

Sawanda Byrd Wilson

Mr. Paterson explained the request for the Civil Penalty Appeal. Sarah Telofski, Assistant General Counsel, Alabama Secretary of State was present to speak and answer any questions. General Crowell moved to set aside the three penalties and offenses that were imposed July 8, 2022. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

At 10:27 a.m. General Crowell moved that the Commission go into Executive Session. Mr. Plunk seconded the motion. Motion passed unanimously with all those present voting.

At 12:51 p.m. Mr. Plunk moved that the Commission go back into Open Session. General Crowell seconded the motion. Motion passed unanimously with all those present voting.

Case No. 2021-0230

Geoff Walters, Former Head Football Coach, Brewer High School

General Crowell moved that based on the evidence as presented to this Commission, there exists insufficient facts to hold that Geoff Walters, has violated the Alabama Ethics Act. He further moved that this case be closed. Mr. Plunk seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2021-0401

Susan Coke, Administrative Support Associate, Auburn University

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that Susan Coke has committed one (1) minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0048

Chris Logan, Former Groundskeeper, Jacksonville State University

Mr. McDonald moved that based on the evidence as presented to this Commission, probable cause exists that Chris Logan violated the Alabama Ethics Act, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0082

Koye Adedokun, Chief Financial Officer, Department of Mental Health

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that Koye Adedokun has committed one (1) minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0164

Tyler Murphy, Police Officer, City of Roanoke / Former Police Chief, Town of Wadley Officer General Crowell moved that based on the evidence as presented to this Commission, there exists cause to hold that Tyler Murphy has committed one (1) minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0173

Rodrecus Johnson, Warehouse Lead Worker, Alabama Alcoholic Beverage Control Board Mr. McDonald moved that based on the evidence as presented to this Commission, probable cause exists that Rodrecus Johnson violated the Alabama Ethics Act, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0174

Wallace Salery, Warehouse Lead Worker, Alabama Alcoholic Beverage Control Board Mr. McDonald moved that based on the evidence as presented to this Commission, probable cause exists that Wallace Salery violated the Alabama Ethics Act, and further moved that this case be referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. Mr. Plunk seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2021-0301

Derek Lawrence, Police Officer, City of Foley

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that Derek Lawrence has committed one (1) minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2021-0302

Nicole Phillips, Chief Corrections Officer, City of Foley

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists cause to hold that Nicole Phillips has committed one (1) minor violation of the Alabama Ethics Act, and further moved that this case be handled administratively and referred for review and appropriate legal action to the District Attorney of the appropriate Judicial Circuit. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0161

Nealy Roper, Board Member, Foley Housing Authority

Mr. Plunk moved that based on the evidence as presented to this Commission, there exists insufficient facts to hold that Nealy Roper, has violated the Alabama Ethics Act. He further moved that this case be closed. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Cases Recommended for Dismissal

The following cases were presented to the Commission by Executive Director Albritton, with the recommendation that they be dismissed: Case No. 2021-0355; Case No. 2021-0390; Case No. 2021-0409; Case No. 2021-0410; Case No. 2021-0411; Case No. 2021-0413; and Case No. 2021-0414. General Crowell moved that the Commission dismiss the cases as recommended. Mr. Plunk seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2021-0262

Marlos Walker, Police Chief, City of Ozark

Chief Justice Stuart moved that on June 8, 2022, the Alabama Ethics Commission unanimously found Marlos Walker, Police Chief, City of Ozark, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 33rd Judicial Circuit who approved the administrative resolution on or before July 14, 2022. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$200.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before September 28, 2022; 2) There is no restitution owing for this minor violation. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2021-0334

Donnis Leeth, Former Mayor, Town of Colony

General Crowell moved that on June 8, 2022, the Alabama Ethics Commission unanimously found Donnis Leeth, Former Mayor, Town of Colony, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 32nd Judicial Circuit who approved the administrative resolution on or before July 5, 2022. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$300.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before September 28, 2022; 2) There is no restitution owing for this minor violation. Chief Justice Stuart seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0064

Robert Kulick, Coordinator, Student Services, Aerospace Engineering – Auburn University Chief Justice Stuart moved that on June 8, 2022, the Alabama Ethics Commission unanimously found Robert Kulick, Coordinator, Student Services, Aerospace Engineering – Auburn University, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 37th Judicial Circuit who approved the administrative resolution on or before June 28, 2022. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$200.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before September 28, 2022; 2) There is no restitution owing for this minor violation. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Case No. 2022-0065

David Cline, Associate Professor, Auburn University

Chief Justice Stuart moved that on <u>June 8, 2022</u>, the Alabama Ethics Commission unanimously found David Cline, Associate Professor, Auburn University, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 37th Judicial Circuit who approved the administrative resolution on or before June 28, 2022. Accordingly, I hereby move as follows: 1) An administrative penalty in the amount of \$200.00 be ordered by the Commission to be paid to the Ethics Commission by cashier's check, money order, or online payment on or before September 28, 2022; 2) There is no restitution owing for this minor violation. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

Other

Case No. 2017-0091

William Avery, Former Police Chief, Wadley Police Department

Mr. McDonald moved that on <u>February 7, 2018</u>, the Alabama Ethics Commission unanimously found William Avery, Former Police Chief, Wadley Police Department, had committed one (1) minor violation of the Alabama Ethics Act and approved an administrative resolution at the request of the Respondent. The Commission forwarded the case to the District Attorney of the 5th Judicial Circuit who approved the administrative resolution on or about March 7, 2018. Accordingly, I hereby move as follows: 1) On April 4, 2018, an administrative penalty in the amount of \$ 1,000.00 was ordered by the Commission. 2) The Commission hereby approves the request to remit the fine. General Crowell seconded the motion. Motion passed 4-0 with all those present voting.

At 1:11 p.m. Director Albritton presented the Commission with the proposed 2023 Commission dates. General Crowell moved the dates be adopted. Mr. Plunk seconded the motion. Motion passed 4-0 with all those present voting.

Adjournment

There being no further business at 1:12 p.m., Mr. Plunk moved that the meeting be adjourned, and Mr. Crowell seconded the motion. Motion passed 4-0 with all those present voting.

The next regularly scheduled meeting of the Commission is October 5, 2022.

John Plunk, Chair

Thomas B. Albritton, Executive Director

Byron Butler, Recording Secretary